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Research Center for Social Systems, SHINSHU UNIVERSITY • EMBASSY of JAPAN



White Collar Crime

6th Workshop In Washington DC 

Date/Place Oct.18(Fri),2024/ Embassy of Japan 2520 Massachusetts Avenue NW, Washington D.C. 20008

Research Center for Social Systems, SHINSHU UNIVERSITY • EMBASSY of JAPAN

White Collar Crime

6th Workshop In Washington DC 

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Chief, Fraud Section Criminal Division
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Professor of Law at Waseda University Law School
Professor Emeritus at Kyoto University
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Bernard M. Fishman Distinguished Professor
of Law, Duke University
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Chief, Corporate Enforcement,
Compliance, & Policy (CECP) Unit
Fraud Section Criminal Division United States
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Professor at the Center for Interdisciplinary
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Mark Schneider

Partner, Kirkland & Ellis
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Professor of Law at Kyoto University
Visiting Researcher at RIKEN AIP
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Senior Vice President Legal, Fresenius Medical Care
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Senior Advisor, Principia Advisory
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Moderator:
Katsuya Tamai

Professor, Research Center for Advanced Science
and Technology, The University of Tokyo
Professor, Shinshu University
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Panelist:
Ivan Schlager

Partner, KIRKLAND & ELLIS LLP
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Yuichi Ikeda

Partner, PwC Risk Advisory LLC
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Takashi Ito

Corporate Executive Senior General Manager,
Corporate Economic Security Div. Mitsubishi
Electric Corporation.
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Chief relationship manager,
Economic security office FRONTEO, Inc
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Eric Robinson, JD/PMP

KLDiscovery Ontrack LLC
VP, Global Advisory Services & Strategic Solutions
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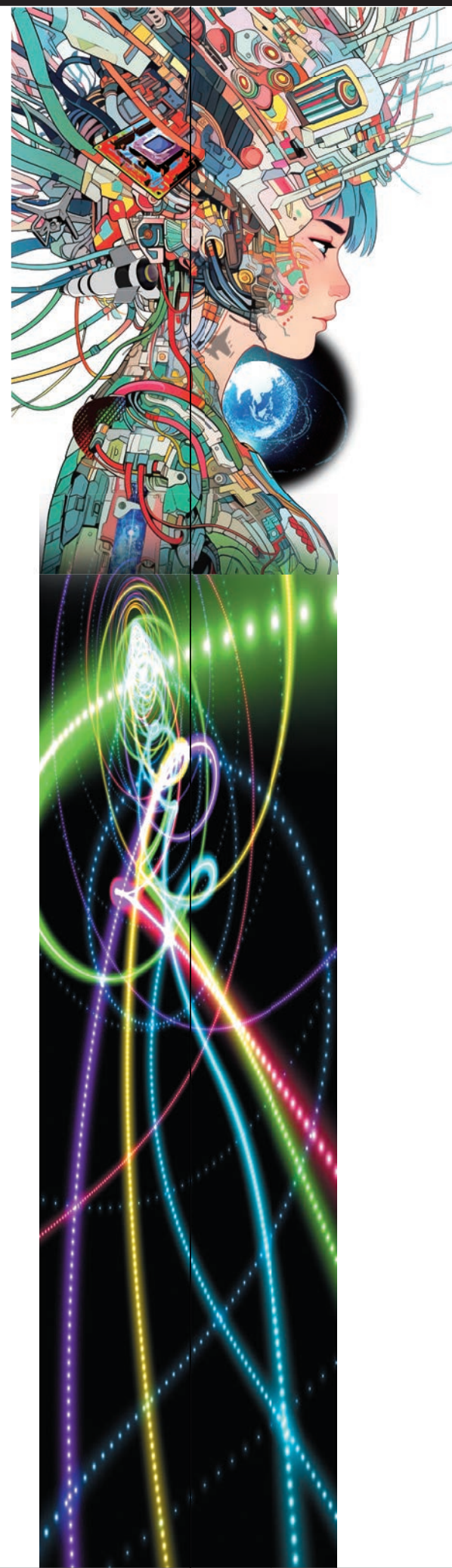
Preface

It is my pleasure to welcome you to the Sixth Annual White Collar Crime Workshop, co-sponsored by the Japanese Embassy in Washington, DC, and Shinshu University. This event has grown to be one of the strongest of its kind in the world--bringing together leading practitioners and academics to discuss developments and approaches in white-collar criminal law enforcement around the globe. The discussions are balanced and informed, with an appreciation that prudent and effective white collar law enforcement promotes both economic vitality and respect for the rule of law. Panels this year address important topics such as global enforcement trends, national security and economic statecraft, issues surrounding whistleblower systems, and new laws and policies in the UK, US, and Japan, among other nations.

This event has continued to grow stronger each year, and it has promoted friendship and collaboration by experts from many allied nations. It has been an honor to be included in this dialogue, and I hope that the discussion proves as rich and valuable as in years past. We all look forward to your participation.

Mark Filip

Partner, Kirkland & Ellis



Greeting Message from Project Organizer

Digital technologies, such as generative AI, are developing ever-increasingly. As physical and digital space integration continues, business is becoming more complex, and the information gap between government and business regarding corporate activities is widening. To deal with corporate crime and corporate governance issues in a VUCA environment, where there are no right answers, it is essential for business people, practitioners, and researchers to engage in discussions across national and disciplinary boundaries.

This workshop aims to create a global platform for business people, practitioners, and academics to learn from each other about these issues related to corporate governance and corporate compliance.

I am delighted we can continue this event at the Japanese Embassy in Washington, D.C., and I would like to express my profound appreciation to the speakers of each session, the staff, the audience, and the Japanese Embassy and Shinshu University for supporting this project. I hope our fifth workshop will be informative and helpful to each of you

Warm regards,

Daisuke Fukamizu

Research Professor at Shinshu University,
Partner, Nagashima Ohno & Tsunematsu



Moderator & Panelist Biographies

Project Organizer/MC



Daisuke Fukamizu

Partner, Nagashima Ohno & Tsunematsu
Research Professor at Shinshu University
E-mail: daisuke_fukamizu@noandt.com

Daisuke Fukamizu concentrates his practice on white collar crime, regulatory compliance, antitrust and corporate crisis management matters. He has handled numerous internal investigations and related government actions involving alleged accounting fraud, cartel agreements, FCPA violations, and insider trading, etc.

Mr. Fukamizu has covered both domestic and international matters across a variety of industries, including the manufacturing, accounting, automotive, entertainment and media, financial services, construction and pharmaceutical industries.

In addition, Mr. Fukamizu has been qualified as a Certified Fraud Examiner since 2012. He is also a research professor at Shinshu University and serves as a member of the "research committee on governance innovation" at the Ministry of Economy, Trade and Industry (METI) and Advisory Board of Integrity Distributed.

In 2019, Asian Legal Business (ALB) recognized Mr. Fukamizu as one of the "under 40 Asia Outstanding Legal Professionals 40". From 2020 to 2024, Legal 500 recognized him as one of the "Asia Pacific Next Generation Partners" in the field of white collar crime. Expert Guides similarly recognized him as one of the "White Collar Practitioner Rising Stars" in 2020. He was also recognized as "Young Lawyer of the Year" by ALB in 2020 and as "Japan Lawyer of the Year" by Benchmark Litigation Asia-Pacific Awards 2024.



Glenn Leon

Chief, Fraud Section Criminal Division United States Department of Justice

GLENN LEON is the Chief of the Fraud Section of the Criminal Division at the U.S. Department of Justice. In this capacity, Glenn oversees over 150 federal prosecutors nationwide and supervises federal criminal investigations and prosecutions involving securities fraud, commodities fraud, healthcare fraud, government procurement fraud, federal bribery offenses, and violations of the Foreign Corrupt Practices Act. Glenn rejoined the Fraud Section last year after previously serving as an Acting Deputy Chief in the Fraud Section's Securities and Financial Fraud Unit.

Glenn also served as an Assistant U.S. Attorney for the District of Columbia for twelve years, where he prosecuted white collar, organized crime, and homicide cases. During his 16 years as a federal prosecutor, Glenn tried more than 25 jury trials.

Prior to rejoining the Department of Justice, Glenn served as Senior Vice President and Chief Ethics and Compliance Officer at Hewlett Packard Enterprise (HPE) for over seven years. In that role, he was responsible for the strategic direction and oversight of HPE's ethics and compliance program, including all internal and external investigations of legal and ethics violations. He provided legal counsel to ensure adherence to the law and industry standards on matters including anti-corruption issues, securities and accounting fraud, and export controls. His team was also responsible for leading the company's compliance with privacy, global trade, social and environmental sustainability and human rights matters.

Glenn started his career in private practice as a litigation associate at two national law firms. He earned his J.D. from New York University School of Law, and graduated from Brandeis University, magna cum laude, with a degree in Economics.



Tadashi Sakamaki

Professor of Law at Waseda University Law School
Professor Emeritus at Kyoto University

Tadashi Sakamaki is a professor of law at Waseda University Law School and a professor emeritus at Kyoto University. He is a leading scholar in the area of criminal procedure law. He has published many influential articles and books which cover almost all areas and aspects of criminal procedure (including Textbook on Criminal Procedure Law, Book on Discovery in Criminal Cases). He has been serving as a member of the legislative council of the Ministry of Justice for more than 20 years and playing a decisive role to mold Japanese criminal justice, including enactment of layperson participation system in criminal trial, pre-trial procedure and discovery system, and negotiation procedure for gathering evidence.

Moderator/Panelist



Daniel Kahn

Partner, Davis Polk & Wardwell LLP
Email: daniel.kahn@davispolk.com

Daniel Kahn is a partner at Davis Polk in the White Collar Defense & Investigations group. Dan represents companies and individuals in government enforcement matters, conducting internal investigations and in compliance matters. Dan previously served for 11 years at the Department of Justice, where he held a number of senior level positions, including acting Deputy Assistant Attorney General of the Criminal Division, acting Chief of the Fraud Section, and Chief of the FCPA Unit.

The Wall Street Journal described Dan as DOJ's "most recognizable expert on the Foreign Corrupt Practices Act." At DOJ, Dan supervised matters involving the FCPA, money laundering, and fraud related to digital currency, fintechs, commodities, securities, healthcare and procurement. As Chief of the FCPA Unit, he oversaw all investigations and prosecutions involving the FCPA, and oversaw the exponential increase in multi-jurisdictional investigations, coordinating with authorities in Europe, Asia and Latin America.

Dan also played a central role in developing enforcement policies at DOJ on the FCPA, corporate enforcement, compliance and monitors.

Dan teaches Corporate Criminal Investigations at Harvard Law School and Global Anti-Corruption at Georgetown Law Center, and co-authored a treatise on corporate criminal investigations.



Lorinda Laryea

U.S. Department of Justice
Acting Co-Principal Deputy Chief, DOJ Criminal Division, Fraud Section

Lorinda Laryea has been with the Department of Justice, Criminal Division, Fraud Section since 2014, and is currently the Principal Deputy Chief of the Fraud Section. Ms. Laryea was previously the Acting Chief of the Fraud Section, and the Principal Assistant Deputy Chief, an Assistant Chief, and a trial attorney in the Fraud Section's Foreign Corrupt Practices Act ("FCPA") Unit. Ms. Laryea earned the Attorney General's Award for Fraud Prevention for her work on a lottery scam case and the Assistant Attorney General's Award for Distinguished Service for her work on the Odebrecht case. Prior to joining the Department of Justice, Ms. Laryea clerked on the District Court for the District of Columbia and worked at Gibson, Dunn & Crutcher LLP. Ms. Laryea graduated magna cum laude from Brown University and cum laude from Georgetown University Law Center.



Mark Filip

Partner, Kirkland & Ellis
Email: mark.filip@kirkland.com

Mark Filip is a partner at Kirkland & Ellis LLP, where he helps lead the litigation department and serves as one of 20 members of the Firm's worldwide management committee. He represents companies and boards in both civil litigations and in governmental and criminal investigative matters. He also has led internal investigations for an array of boards and companies, involving various industries, settings, and countries around the world. Prior to joining Kirkland, Mr. Filip was at the U.S. Department of Justice, where he served as Deputy Attorney General of the United States after being unanimously confirmed by the U.S. Senate. Prior to serving as Deputy Attorney General, Mr. Filip spent four years as a federal judge in the U.S. District Court in Chicago after being confirmed 96-0 by the U.S. Senate. Before becoming a judge, Filip variously worked in private practice and as an Assistant U.S. Attorney. He is a fellow of the American College of Trial Lawyers, and also clerked after law school for Supreme Court Justice Antonin Scalia. He has law degrees from Harvard University and the University of Oxford, and a bachelor's degree from the University of Illinois.



Moderator & Panelist Biographies



Jennifer Arlen

**Norma Z. Paige Professor of Law at New York University School of Law
Founder and Faculty Director, Program on Corporate Compliance and Enforcement
Email: ArlenJ@mercury.law.nyu.edu**

Jennifer Arlen is an internationally recognized expert on corporate criminal enforcement. Author of more than 50 scholarly publications, she currently is the Associate Reporter for enforcement for the American Law Institute's Principles of Law on Compliance, Enforcement, and Risk Management for Corporations. She is past President of the American Law and Economics Association and the Society for Empirical Legal Studies (which she co-founded in 2005), and serves on the Editorial Board of the *American Law and Economics Review*.

Arlen received her B.A. in economics from Harvard College (1982, magna cum laude) and her J.D. (1986, Order of the Coif) and Ph.D. in economics (1992) from New York University. She has been a Visiting Professor at the California Institute of Technology, Harvard Law School, and Yale Law School, and was the Ivadelle and Theodore Johnson Professor of Law and Business at USC Gould School of Law before coming to NYU. She clerked for Judge Phyllis Kravitch on the U.S. Court of Appeals for the 11th Circuit. Arlen teaches Corporations, Business Crime, and a seminar on Corporate Crime and Financial Misdealing.



Hironobu Tsukamoto

**Partner, Nagashima Ohno & Tsunematsu
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Hironobu Tsukamoto is a Co-Head of Nagashima Ohno & Tsunematsu NY LLP. His practice focuses on commercial litigation and arbitration primarily in the area of commercial transactions, labor and employment, intellectual property and securities, as well as on regulatory compliance and other investigation matters.

In addition, Mr. Tsukamoto regularly advises multinational and domestic companies in a wide range of industries on various aspects of Japanese laws including general corporate, labor and employment, privacy and data security, and intellectual property matters. He graduated with an LL.B. from Kyoto University in 1998 and with an LL.M. from the University of Chicago in 2005.



Edward, Lord Garnier KC

4 Pump Court

Edward Garnier KC is a leading silk specialising in corporate advisory and financial services work, corporate crime and international human rights as well as sanctions, defamation, privacy, confidence, malicious falsehood, contempt and related media law cases. His extensive experience in practice is underpinned by a parallel career in politics and as one of the Government's two Law Officers: he served as an MP from 1992 until 2017 and was HM Solicitor General from 2010 to 2012. He is now in the House of Lords. When in Government as Solicitor General, he developed and introduced the Deferred Prosecution Agreement into England and Wales, adapting the model in use in the United States, a means of dealing with companies who admit their offending to supplement prosecutions against individuals suspected of economic crime. He led for the Serious Fraud Office in two DPAs (Standard Bank and Rolls Royce) and for the respondent companies in 2 other DPAs. Edward has since been instrumental in developing the failure to prevent fraud offence as part of the Economic Crime and Corporate Transparency Act 2023, expected to come into force next year.



Lisa Osofsky

**Partner & Managing Director, AlixPartners, LLP
Email: losofsky@alixpartners.com**

Lisa's risk advisory and corporate compliance experience, in the UK and US, has distinguished her as a leader in investigating and combating complex financial crimes. She has served as a prosecutor, in-house counsel, money laundering reporting officer, corporate compliance monitor, and ethics advisor for both public and private sector organizations, on both sides of the Atlantic. Prior to joining AlixPartners as a Partner & Managing Director, Lisa served as the Director of the Serious Fraud Office (SFO) in the UK, where she held the highest-ranking position in white-collar crime prosecution, focusing on anti-corruption, fraud, and anti-money laundering. As board chair and chief executive, Lisa was responsible for overseeing all SFO operations, reporting directly to Parliament. Under her leadership, the SFO investigated and prosecuted some of the most intricate white-collar criminal matters across the UK, achieving landmark convictions and securing substantial confiscation orders. Her career also includes legal, compliance, and investigative roles at Goldman Sachs International and Control Risks Group. Lisa holds a Juris Doctor degree from Harvard Law School. She is a barrister and member of the Maryland State Bar Association.



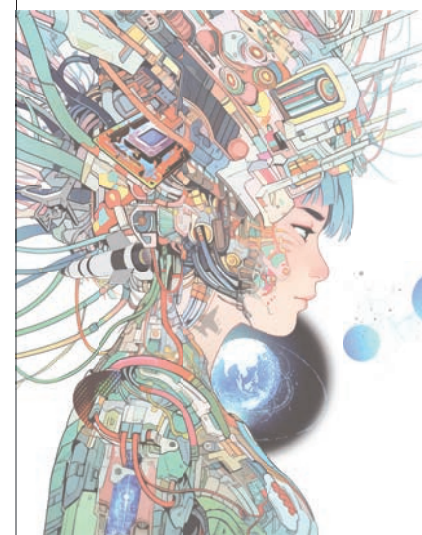
Simon Airey

**Partner, McDermott Will & Emery
Email: sairey@mwe.com**

Simon Airey focuses his practice on global, cross-border and internal investigations, financial and regulatory crime, bribery and corruption, money laundering, tax and fraud inquiries, data breaches, dawn raids, asset tracing, international enforcement and corporate compliance issues. Simon serves as co-head of the Firm's Global Investigations & Compliance practice. He has conducted a wide range of investigations and corporate defence assignments in different sectors, including construction, defence, financial services, gambling, oil and gas, logistics, pharmaceuticals and telecommunications. Simon represents both companies and individuals in criminal and regulatory proceedings and in associated litigation.

Simon advises a large number of multinational groups on their global compliance programs and assists clients with corporate risk assessments and pre- and post- M&A due diligence. He conducts tailored training for boards and senior management and has lectured around the world on a range of topics including the UK Bribery Act and the UK Criminal Finances Act. He advises a number of organisations on the corporate criminal offence of failing to prevent the facilitation of tax evasion and served on the UK Law Society committee which devised related guidance for the legal profession. He is also advising a number of clients in connection with the new Economic Crime & Corporate Transparency Act and is assisting with related policies, procedures and training.

In addition to leading various major tax, fraud and bribery investigations, Simon has advised a foreign government in relation to various tax and transparency issues and represented over 50 financial institutions served with data production orders by HM Revenue & Customs in the UK. McDermott Will & Emery wrote the UK Chapters in the *Practitioner's Guide to Global Investigations* published by Global Investigations Review and recently acted for an international gambling company in the second largest Deferred Prosecution Agreement in English legal history.





Moderator & Panelist Biographies



Ben Morgan

Partner, Freshfields Bruckhaus Deringer LLP
Email: Ben.MORGAN@freshfields.com

Ben is a partner in Freshfields' international corporate crime group. He advises on the full range of financial crime exposure that businesses face. He is instructed in some of the most significant current enforcement processes both in the UK and internationally, covering risk generated by alleged financial misstatements, money laundering, sanctions breach, facilitation of tax evasion, bribery and corruption. Formerly a board member and Joint Head of Bribery and Corruption at the UK Serious Fraud Office, Ben had a lead role in the genesis and implementation of the UK's ability to resolve corporate misconduct through the use of Deferred Prosecution Agreements, being responsible both for the first DPA, and also separately what was at the time the largest DPA involving a joint resolution with UK, US and Brazilian authorities. Ben has also concluded a DPA for a major corporate since joining Freshfields and so, uniquely in the UK, has experience of the process from both sides of the table.



Tetsuya Yamashita

Professor of Law at Kyoto University
Email: yamashita@law.kyoto-u.ac.jp

Tetsuya Yamashita is a professor of law at the Graduate School of Law, Kyoto University, specializing in commercial law. He has published several Japanese books and papers on corporate governance and law, insurance contract theory, and financial regulations. His work has been recognized with prestigious awards from various academic institutions. He is also member of working groups and expert panels for regulations on the asset management and the insurance industry at the Financial Services Agency (FSA) of Japan.

Currently, he is involved in the AI & Law Unit research at the Center for Interdisciplinary Studies of Law and Policy, the Graduate School of Law, Kyoto University (KILAP). The unit focuses on developing legal systems that enable us to adapt to the social changes artificial intelligence brings. He also served as a member of the Working Group on Institutional Design to Promote Agile Governance at Japan's Ministry of Economy, Trade and Industry (METI). The group's objective was to provide policy recommendations for building appropriate systems that can implement agile governance in an era of cyber-physical integration.

He received his LL.B. and J.D. degrees from Kyoto University, earning valedictorian honors for both. He also spent time as a visiting scholar at the University of Pennsylvania Law School in the U.S. and the Max Planck Institute for Comparative and International Private Law in Germany.



Matthew Jacques

Partner & Managing Director, AlixPartners LLP
Email: mjacques@alixpartners.com

Matt helps clients solve their most complex and important financial reporting, regulatory, investigation, compliance, and litigation problems. In March 2022, he returned to AlixPartners after spending over three years as the Chief Accountant for the Enforcement Division of the U.S. Securities and Exchange Commission. As Chief Accountant, Matt was responsible for leading the Enforcement Division's enforcement program related to financial accounting, disclosure, and auditing enforcement matters. His unique combination of experience as a regulator, forensic accountant, auditor and accounting expert make him a trusted advisor to counsel, regulatory agencies, and company management. Matt earned his bachelor's degree in accounting from the University of Notre Dame. He is a certified public accountant and certified fraud examiner.



Eugene Soltes

Professor, Harvard Business School
Email: esoltes@hbs.edu

Eugene Soltes is the McLean Family Professor of Business Administration at Harvard Business School and the Founder/CEO of Integrity Lab. His expertise lies in the use of data and quantitative methods to analyze organizational culture and conduct. Dr. Soltes has supported organizations' development of data-driven compliance programs and has published in leading academic and practitioner journals on the use of data science to understand and evaluate compliance program design. He is author of *Why They Do It: Inside the Mind of the White-Collar Criminal* and co-author of *Corporate Criminal Investigations and Prosecutions*. Professor Soltes received his PhD and MBA from the University of Chicago Booth School of Business, and his AM in statistics and AB in economics from Harvard University.





Moderator & Panelist Biographies



Douglas A. Axel

Partner, Sidley Austin LLP
Email: DAXEL@SIDLEY.COM

DOUGLAS A. AXEL is a partner in Sidley's Los Angeles office and is a member of the White Collar, Securities Enforcement and Regulatory, and Commercial Litigation and Disputes practices. A former federal prosecutor, Doug focuses his practice on white collar criminal defense, government enforcement matters, internal investigations and commercial litigation and disputes. He has prosecuted and defended individuals and corporations in a wide range of white collar and government enforcement matters, including matters involving the False Claims Act, the Foreign Corrupt Practices Act, securities fraud, insider trading, consumer protection, healthcare enforcement and antitrust.

Prior to joining Sidley, Doug was an Assistant United States Attorney in the Central District of California, where he served as the Chief of that Office's Major Frauds Section. As Chief, he supervised 40 lawyers who investigated and prosecuted a wide range of business and other white-collar crimes, including corporate fraud, healthcare fraud, securities and investment fraud, financial fraud, government procurement fraud, tax fraud and public corruption offenses. As an AUSA, Doug personally prosecuted dozens of criminal white-collar cases. He was lead counsel in many criminal jury trials and argued several cases before the Ninth Circuit Court of Appeals. In 2009, he received an Attorney General's Award for Fraud Prevention, one of the Department of Justice's highest honors.

Doug has been recognized by Chambers USA for Litigation: White-Collar Crime & Government Investigations (2017–2022), with clients stating that he "is an excellent collaborator and people like working with him; he is calm under pressure and works well with others" (2021). He has consistently been named a "Best Lawyer" in The Best Lawyers in America (2013–2022) in the practice areas Criminal Defense: White-Collar, Criminal Defense: Non-White-Collar and Litigation-Securities. He was also featured in the Los Angeles Business Journal's 2015 list of "Most Influential Lawyers: White Collar and Cyber Crime." Doug earned his J.D., summa cum laude, from the University of California, Hastings College of the Law and received a B.S. in Aerospace Engineering from the University of California – Los Angeles.



Jane Norberg

Partner, Arnold & Porter
Email: jane.norberg@arnoldporter.com

Jane Norberg is a partner at Arnold & Porter in the Securities Enforcement & Litigation practice group. Jane previously served as a Senior Officer and Chief of the Office of the Whistleblower in the Division of Enforcement at the Securities and Exchange Commission as well as a Special Agent with the United States Secret Service. Jane currently represents public and private companies, financial institutions, individuals, boards of directors, and investment advisors on both internal and government whistleblower matters, including internal and government investigations; whistleblower retaliation and impeding claims; compliance matters; sexual harassment and misconduct investigations; securities enforcement defense; and provides crisis management counseling to mitigate reputational risk. During her time at the SEC, Jane helped develop, lead and expand the SEC's whistleblower program and was spotlighted by CNN as the program's "\$800 million top cop" and shortlisted by GIR as Investigator of the Year. Jane is a leading expert on whistleblower impeding and retaliation claims defense and also counsels clients on the proactive structuring of internal compliance mechanisms, policies, and procedures and trains boards of directors, management and workforces on internal reporting structures and retaliation prevention. Jane also advises on emerging whistleblower programs such as the DOJ whistleblower program, the FinCEN Anti-Money Laundering Act whistleblower program, and NHTSA automotive whistleblower program.



Brian Young

Director, Whistleblower Office
Commodity Futures Trading Commission

Brian currently serves as the Director of the Whistleblower Office of the Commodity Futures Trading Commission. He started that role in 2024. Before coming to the CFTC, Brian served for nearly 20 years in the Department of Justice, most recently as the Acting Director of Litigation for the Antitrust Division, where he served as the highest ranking career official in the Antitrust Division's litigation program. In that role, he oversaw criminal prosecutions brought under the Sherman Act as well as civil merger and antitrust conduct litigation. Before his time at the Antitrust Division, Brian served in various roles in the Fraud Section of the Criminal Division, culminating in his appointment as Chief of the Fraud Section's Litigation Unit. While at the Fraud Section, Brian tried several of the most significant white collar crime matters in the past decade, including trial convictions of the first individuals tried in the United States for manipulating the London Interbank Offered Rate (LIBOR), a benchmark interest rate to which hundreds of trillions of dollars in financial productions were tied; a conviction of the former head of HSBC Bank's Foreign Exchange (FX) desk in connection with a scheme to "frontrun" a client on a \$3.5 billion FX trade; and the conviction of two former London and Singapore-based Deutsche Bank precious metals traders arising from a scheme to "spoo" the futures markets by placing over \$1 billion in non-bona fide orders on the Chicago Mercantile Exchange. In addition to financial fraud, Brian worked on several notable procurement fraud and public corruption prosecutions, including the "Fat Leonard" Navy Bribery Scandal. Brian began his career at DOJ as an Attorney General's Honors Program Attorney assigned to the Fraud Section of the Civil Division, where he worked on civil False Claims Act matters. During his time at DOJ, Brian tried 12 multi-week fraud jury trials and spearheaded numerous criminal and civil corporate resolutions. Immediately following law school, he served as a law clerk to the Hon. Alice M. Batchelder of the United States Court of Appeals for the Sixth Circuit.



Samuel Buell

Bernard M. Fishman Distinguished Professor of Law, Duke University
Email: buell@law.duke.edu

Samuel W. Buell is the Bernard Fishman Professor of Law at Duke University. His writing and teaching focus on corporate and business crime and market regulation. He is the author of Capital Offenses: Business Crime and Punishment in America's Corporate Age and dozens of article on the structure of white collar offenses and the institutions and processes of corporate crime enforcement. He has also taught at Washington University in St. Louis and the University of Texas at Austin and he served from 1994 to 2004 as a federal prosecutor in New York, Boston, Washington, and Houston, including for two years on the investigation of the 2001 collapse of the Enron Corporation.



Moderator & Panelist Biographies



Jennifer Saperstein

Partner, Covington & Burling LLP
Email: saperstein@cov.com

Co-chair of Covington's Anti-Corruption practice and vice chair of the White Collar group, Jennifer Saperstein is an experienced compliance counselor who advises clients on anti-corruption, anti-bribery, and ethics issues. She is also a key member of the firm's Institutional Culture and Social Responsibility practice. Named a Compliance "Rising Star" by Law360, Jennifer frequently conducts risk assessments and compliance program assessments, and develops anti-corruption compliance programs for clients across a wide range of industries. She has particular experience implementing technology-based solutions to enhance compliance programs, including the use of data analytics and systems for third party management. As part of her practice, Jennifer regularly assists companies with anti-corruption due diligence and compliance integration in connection with acquisitions, asset purchases, joint ventures, and other investment transactions. Jennifer also leads cross-cutting compliance projects to help companies build and improve their compliance programs across areas of regulatory expertise, bringing together teams of regulatory experts to provide integrated advice and implement compliance program best practices.



Andrew Gentin

Chief, Corporate Enforcement, Compliance, & Policy (CECP) Unit
Fraud Section Criminal Division United States Department of Justice

Andrew Gentin is the Chief of the Corporate Enforcement, Compliance, and Policy Unit in the Fraud Section, Criminal Division, U.S. Department of Justice. In this position, he oversees all corporate enforcement, compliance, monitorship, and policy matters for the Fraud Section. Andrew was previously a prosecutor in the Fraud Section's FCPA Unit, where he investigated and prosecuted individuals and companies for foreign bribery violations. He currently serves as a representative to the OECD's Working Group on Bribery where he is the Chair of the Law Enforcement Officials' Group.



Kentaro Asai

Professor at the Center for Interdisciplinary Studies of Law and Policy, Kyoto University
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Kentaro Asai is a professor at the Center for Interdisciplinary Studies of Law and Policy, Kyoto University. He earned Ph.D., M.A., and B.A. with Honors in Economics from the University of Chicago. His main research interests lie in corporate studies, banking, industrial organization, and behavioral studies. He has been working with various researchers, institutions, and data sets across the globe. He published internationally in scholarly journals, including PNAS, JFQA, and RCFS, and policy reports in the area of economics and finance. His first book "Corporate Finance and Capital Structure: A Theoretical Introduction" was published from Routledge. Before joining Kyoto University, he was affiliated with Australian National University and Goldman Sachs.



Avi Perry

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Avi Perry is a partner at Quinn Emanuel Urquhart & Sullivan, LLP in Washington, DC. Avi is co-Chair of the firm's Securities Litigation Group and the Commodities and Derivatives Group, as well as a member of the Investigations, Government Enforcement and White Collar Criminal Defense Group. Prior to joining Quinn Emanuel, Avi served for nine years as a federal prosecutor at the U.S. Department of Justice, most recently as Chief of the Market Integrity and Major Frauds Unit in the Criminal Division's Fraud Section. In that role, Avi supervised 45 white-collar prosecutors nationwide and oversaw all of the Fraud Section's corporate and individual investigations and prosecutions involving securities, commodities, digital assets, and government procurement fraud.



Mark Schneider

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Mark Schneider a partner at Kirkland & Ellis LLP where his practice focuses on representing businesses, their boards and executives in complex criminal and civil investigations, government enforcement proceedings, and related litigation, often in highly regulated industries and international contexts. Mark has substantial experience representing Japanese companies in US legal matters. Prior to joining Kirkland, Mark served more than ten years with the U.S. Department of Justice in various senior roles including as Chief of Appeals and Deputy Chief of Financial Crimes and Special Prosecutions with the U.S. Attorney's Office in Chicago, as Special Attorney to the U.S. Attorney General responsible for a high-profile national security investigation, and as Deputy Rule of Law Coordinator for Iraq. A former editor of the Harvard Law Review and Marshall Scholar at Oxford, Mark was a lecturer for many years at the University of Chicago Law School, is an elected member of the American Law Institute, and is recognized by Chambers as a leading lawyer, among many other recognitions.



Tatsuhiko Inatani

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Tatsuhiko Inatani is a professor of law at Kyoto University. He specializes in criminology, especially law and technology and corporate crime. He is also a visiting researcher at RIKEN AIP where he explores a suitable governance system of development AI technologies, e.g. autonomous vehicle, with AI scientists and engineers. He has published several influential Japanese books and articles concerned with privacy protection, artificial intelligence and deferred prosecution agreements. He serves as a member of research committee of governance innovation at METI. He received his B.A. from The University of Tokyo and his J.D. from Kyoto University and was a visiting scholar at SciencesPo. Paris and the University of Chicago.



Moderator & Panelist Biographies



Patrick J. Murphy

Senior Vice President Legal, Fresenius Medical Care

Patrick J. Murphy is a Senior Vice President Legal for Fresenius Medical Care, the world's largest renal care company. In this role he is responsible for the Company's Global Investigation and Litigation function where he has been responsible for the overall management of Fresenius Independent Anti-Corruption Monitorship program. In 2019 Fresenius entered a non-prosecution agreement with the Department of Justice and Cease and Desist order with the Securities Exchange Commission paying \$232m and accepting an Independent Compliance Monitorship to resolve various FCPA issues in the company. Patrick came to Fresenius from General Electric where he spent nearly 20 years primarily as the Chief Litigation Counsel for GE Healthcare. He came to GE from the D.C. Office of King & Spalding. Prior to that time Patrick had extensive Government service in both the Federal Bureau of Investigation and as Crime Counsel to the U.S. Senate Judiciary Committee. Patrick began his professional career as an officer in the United States Marine Corps where he served as a Judge Advocate at Camp Pendleton California. He is an adjunct professor at his alma mater Catholic University Columbus School of Law and serves as pro bono General Counsel to Old Glory Rugby of Major League Rugby.



John P Davidson III

Senior Advisor, Principia Advisory

John P Davidson is a Senior Advisor to Principia-Advisory, the leading advisor on organizational ethics. Trusted to partner with organizations to shape the ethical commitments and capabilities that define them. Prior to his retirement in May 2023, he was the Chief Executive Officer of the Options Clearing Corporation ("OCC"), the world's largest equity derivatives clearing organization. John joined OCC in 2017 as President and Chief Operating Officer responsible for information technology and operations functions and become CEO in February 2019. Davidson led the organization during a dramatic period of volume growth, characterized by bursts of unprecedented market volatility from events like the 'Meme stock' phenomena in March 2021 and the dramatic growth in so-called 'zero-day' options.

Davidson worked at Citigroup from April 2008 through January 2017. While there he served as the Chief Compliance Officer of Citigroup. From February 2006 to April 2008, John was Managing Director and Chief Corporate Development Officer of CME Group. He was responsible for oversight of research and product development, strategic planning, business development, and corporate project management.

John earned an M.B.A. in financial management and international business from the University of Chicago and graduated with highest distinction from the University of Illinois-Urbana with a bachelors degree in political science.



Katsuya Tamai

**Professor, Research Center for Advanced Science and Technology, The University of Tokyo
Professor, Shinshu University**

Mr. Tamai is a Professor at the University of Tokyo, the Research Center for Advanced Science and Technology (RCAST), and Shinshu University. He is also an Attorney (Daiichi Tokyo Bar Association). He graduated from the University of Tokyo Faculty of Law in 1983, became an Associate Professor at Gakushuin University's Faculty of Law (Administrative Law) in 1988, an Associate Professor at the University of Tokyo Faculty of Law in 1990, and a full Professor of RCAST in 1997. Eventually, he became a Professor at Shinshu University's Faculty of Economics and Law in 2016 (cross-appointment). He established the Economic Security Management Agency (ESMA) as a non-profit organization in 2021. While he accumulated articles and contributions in the field of Intellectual Property Law and Administrative Law, he changed the name of his chair to "Rule-making Strategies" to promote research on economic security legislation.



Ivan Schlager

Partner, KIRKLAND & ELLIS LLP

Ivan Schlager is a nationally recognized leader in national security law in the Washington, D.C., office of Kirkland & Ellis LLP. Ivan provides counsel on structuring, negotiating and documenting transactions to address regulatory issues, including approval by the Committee on Foreign Investment in the United States (CFIUS). He represents a diverse group of clients ranging from defense companies, major telecommunications providers, and media and technology companies to private equity firms and companies in the financial services, energy, manufacturing, and health care industries.

Ivan has significant experience navigating national security reviews and negotiating complex mitigation agreements. He has developed innovative structures to address national security issues in some of the largest and most complex cross-border transactions. He also assists clients with industrial security (including mitigation of foreign ownership, control or influence (FOCI)), international trade, export control and government contracting issues. Ivan has been consistently recognized as a leading international practitioner by Chambers Global: The World's Leading Lawyers for Business and Chambers USA: America's Leading Lawyers for Business. Clients say that "[Ivan] is an expert in assisting clients with CFIUS reviews. He is probably the best CFIUS lawyer in D.C." and "a very good strategic thinker and adviser."

Prior to joining Kirkland, Ivan established the National Security practice at Skadden Arps and was a member of the Policy Committee. He also served as the chief counsel and staff director to the U.S. Senate Committee on Commerce, Science and Transportation.



Moderator & Panelist Biographies



Yuichi Ikeda

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Yuichi Ikeda is a partner in PwC Japan's Forensic Services. Yuichi's expertise is in digital forensics and eDiscovery for white collar crime investigations, domestic and international regulatory investigations and litigations. He has handled variety of investigations in multiple industries including, but not limited to, manufacturing, financial services, medical device and pharmaceuticals, and trading companies. He frequently advises company executives and their counsels regarding the applications of digital forensics to investigations, and organizes a team to provide digital forensic investigation.

In recent years, he created a team of data scientists and engineers to provide forensic data analytics, and started a research about the applications of advanced AI technologies in the area of forensics and development of forensic tools and solutions with AI technologies in them.



Takashi Ito

Corporate Executive Senior General Manager,
Corporate Economic Security Div. Mitsubishi Electric Corporation.

Joined Mitsubishi Electric Corporation in 1986, launching his career in the marketing sector of memory ICs.

From 1995 to 1997, he was seconded to the Japan Business Federation (Keidanren), where he was involved in strengthening the collaboration between the governments and business sectors of EU countries and the Japanese business community.

Upon his return to Mitsubishi Electric, he took the lead in resolving matters related to Mergers and Acquisitions in the semiconductor field, industry reorganization, and international litigation.

In his role as an industrial policy liaison person, he supported the business activities of the Chairman of Mitsubishi Electric as a vice chairman of KEIDANREN.

In October 2020, he was appointed as the head of the newly established Economic Security Coordination Division. He defined economic security in corporations as information management and supply chain resilience, and carried out policy and regulatory analysis and risk control in various countries.

Simultaneously, he has been continuously conducting approximately 30 activities annually to communicate the significance and importance of economic security activities in corporations through media interviews, speaking at domestic and international symposiums, and lecturing at universities.

He was appointed as an executive officer in 2023.



Makiko Nagata

Chief relationship manager, Economic security office
FRONTEO, Inc

Makiko Nagata is a chief relationship manager at FRONTEO's economic security office, leading the sales and strategic team in Japan. Makiko has previously worked as a manager at an American financial institution and as a sales manager in the manufacturing industry. Makiko works primarily with private companies, helping them solve their economic security challenges and develop strategies, and the government of Japan to assist with their intelligence. Makiko curates webinars and other symposiums to make FRONTEO's solution the common platform between private and public sectors in economic security.



Eric Robinson, JD/PMP

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Eric Robinson has more than 25 years of accumulated legal, eDiscovery, data governance and project management experience. As KLDiscovery's Vice President of Global Advisory Services & Strategic Solutions, Mr. Robinson leads KLD's Advisory Services team and works consultatively with clients to develop and implement cost effective, efficient, defensible discovery, data governance and data privacy compliance strategies. Mr. Robinson also co-leads KLD's Cyber Incident Response group. He leverages his experience in operational analysis and design and his knowledge of current legal trends and technology associated with information management to design defensible strategies and technology solutions to optimize client efficiencies in alignment with industry accepted practices.

Mr. Robinson's experience spans the Information Governance/ eDiscovery Reference Models. His experience ranges from conducting Information & Discovery Management assessments to data privacy compliance assessments and data remediations, to managing and/or serving as an ESI expert on large, complex multi-party litigations, cyber incident response and government investigations. Mr. Robinson is regularly engaged as an ESI Liaison and eDiscovery advisor, as well as, being retained as a 30(b)(6) witness to provide expert testimony, affidavits and declarations pertaining to all facets of the discovery process ranging from scoping, data preservation and collection strategies, to search term development and analysis and document review and production protocols.

Additionally, as a core member of KLDiscovery's Thought Leadership team, Mr. Robinson is regularly engaged as a speaker/CLE presenter on topics ranging across the entire Information Governance, AI/GenAI, Cyber Incident Response and eDiscovery spectrum.